#### FOR ALL REB RENEWAL AND AMENDMENT APPLICATIONS

Applications to renew an Research Ethics Board (REB) permit should be submitted a minimum of three (3) weeks prior to the current approval end date to allow sufficient time for review of the application.

UPEI researchers who conduct research that involves patients, staff, resources or data under the auspices of the Health PEI and the Department of Health and Wellness must obtain certification approval from the UPEI REB and from the Health PEI REB before the research begins. UPEI researchers must use the 'UPEI Ethics Review Protocol Submission Form' when submitting new protocols for review and approval. A copy of this form is on the UPEI website.

UPEI researchers who wish to renew or amend existing REB protocols must complete the 'UPEI Research Ethics Board Annual Renewal and Amendment Form for Approved Studies' (below). If the existing protocol was approved by the Health PEI REB then the Health PEI REB study number must be included on the application.

UPEI researchers are no longer required to submit a separate renewal application or amendment application to the Health PEI REB. However, researchers must use the following submission process for review of these files:

Submit one e-copy <u>and</u> one hard copy of the following documents to <u>reb@upei.ca</u>, UPEI Research Services,
 Kelley Memorial Building:

- UPEI REB Annual Renewal and Amendment Form for Approved Studies;
- Revised recruitment advertisement (if applicable);
- Revised Participant Letter of Information (if applicable);
- Revised Participant Consent Form (if applicable);
- Description of important side effects that were observed (if applicable)
- Report/s from the Data Safety Monitoring Board (DSMB) (if applicable);
- Changes to the Investigator's Brochure/Product Monograph (if applicable);
- Updated literature that may be relevant to the risks of the research (if applicable).

2. The UPEI REB will review the submitted application form and associated documents for completeness and to ensure that the proposed renewal and/or amendment application is in compliance with the UPEI REB policy. Complete renewal/amendment applications will be forwarded by the UPEI REB to the Health PEI REB for review.

## Please note that UPEI researchers must send their applications and associated documents directly to the UPEI REB – not to Health PEI REB.

3. The Health PEI REB will write to inform the UPEI researcher and the UPEI REB of their decision.

UPEI REB will accept the decision of the Health PEI REB.

# UPEI UNIVERSITY

### RESEARCH ETHICS BOARD ANNUAL RENEWAL AND AMENDMENT FORM FOR APPROVED STUDIES

Use this form for renewals or amendments of existing protocols. Projects require full ethics review every three years. Submission of new protocols for REB review should be submitted to the UPEI REB using the form 'Ethics Review Protocol Submission Form'. Submissions are regarded by the REB as strictly confidential.

## Applications <u>must</u> be typed. Handwritten submissions will not be accepted. Submit one e-copy to <u>reb@upei.ca</u> and two signed hard copies to Research Services, 200 Kelley Memorial

telephone #:	PI email:	
UPEI REB file #:	Health PEI REB Study # (if applicable):	
Sponsor (if applicable):		
Study Title:		

What type of approval permit is requested? Check all that are applicable:

1 <sup>st</sup> annual renewal 1 <sup>st</sup> amendment	2 <sup>nd</sup> annual renewal 2 <sup>nd</sup> amendment		3 <sup>rd</sup> amendment			
Current status of data collection: If data collection has been abandoned	Complete d, please explain why:	Continuing	Abandoned			
<ol> <li>Study statistics: Total number of participants</li> </ol>						
Number of participants <b>expected</b> for recruitment at this site (locally): How many participants have been <b>screened</b> ? Of those screened, how many <b>enrolled/randomized</b> ?						
How many participants are or	n follow-up?					
How many participants have	completed the study?					

2.	Have any participants withdrawn? If yes, why?	NA	Yes	No	
3.	If recruitment numbers are less than expected, what is the likel	y reason?			
4.	When is the recruitment phase expected to be completed? (yyy	/y/mm/dd):_			
5.	Have there been any previously unidentified risks or benefits no If yes, please explain:	oted? NA	. Y	′es	No
6.	How are adverse events monitored?				
7.	Have all serious adverse events been reported to the REB?	NA	. 1	′es	No
	If 'no', then please attach information about previously unrepo	orted SAEs to	o this form	1.	
8.	Have there been any changes to the protocol (ie study design, or recruitment, funding status, etc) in the last year? If yes, please explain:	changes in m	-	oarticipant 'es	No
9.	What is the current version and date of the protocol?				

10. What is the current version and date of the Participant Consent Form?

11. Do you consider	the approved rec	cruitment advertisement/Partici	pant Letter of I	nformation/	Participant
	still be appropria		NA	Yes	No <i>If Ij</i>
		of the original form/s as well as a nges should be highlighted or ma		-	ith the
12. What is the antion	cipated study clos	sure date?			
13. Have important	side effects been	observed?	NA	Yes	No
If yes, please exp	olain: <i>(attach add</i>	itional page if necessary)			
	•	een any literature that may be re	elevant to the r	isks of the re	esearch?
No	Yes (atta	ch new, relevant literature)			
15. Is there a Data S	afety Monitoring	Board (DSMB)?			
NA	No	Yes (attach report/s)			
16. Have there beer	any changes to t	he Investigator's Brochure/Prod	uct Monograp	h?	
NA	No	Yes			
17. If applicable, wh	at is the current v	version and date of the IB/Produ	ct Monograph	2	
			eeee8.ep.		
ignature of PI:					
Printed name of PI:					

Date (yyyy/mm/dd): \_\_\_\_\_\_